



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE 8CL-7197A

Inventor: De Bruin et al.
Serial Number: 09/824,886
Filed: 04/03/2001
Examiner: To be Assigned
Group Art Unit: 1626
For: METHOD AND APPARATUS FOR THE CONTINUOUS PRODUCTION OF DIARYL CARBONATES

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INFORMATION DISCLOSURE STATEMENT

Honorable Commissioner of Patents and Trademarks
Washington, DC 20231

SIR:

- ☐ 1 Pursuant to 37 CFR 1.97(b)
[within 3 months of filing or prior to 1st Office Action]
- ☒ 2 Certification Pursuant to 37 CFR 1.97(c)
[before Final Office Action or Allowance]
- ☐ 3 Fee Payment Pursuant to 37 CFR 1.97(c)
[before Final Office Action or Allowance]
- ☐ 4 Petition, Certification & Petition Fee Payment Pursuant to 37 CFR 1.97(d)
[before issue fee payment]

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The following are submitted in the above identified application in compliance with 37 CFR 1.97 & 1.98:

- ☒ 5 A list of documents on form PTO-1449 together with copies of each identified document and a translation or a concise explanation of each non-English language document is enclosed herewith.

This paper is submitted in accordance with:

- ☐ 6 37 CFR 1.97(b): [within 3 months of filing or prior to 1st Office Action]
- ☒ 7 37 CFR 1.97(c): [before Final Office Action or Allowance, whichever is earlier]; and

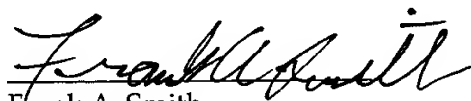
CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class mail in an envelope addressed to: Commissioner of Patents & Trademarks, Washington, D.C. 20231, on this 10 day of October, 2002.

Elizabeth A. Thoresen

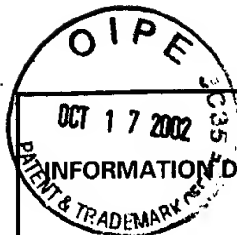
- ☒ 8 The required certification made in item 11 below; or
- ☐ 9 The \$240.00 fee specified in 37 CFR §1.17(p) for submission of this Information Disclosure Statement is authorized in item 14 below.
- ☐ 10 37 CFR §1.97(d): [before issue fee payment]; and
- a) This is a petition for consideration of the subject Information Disclosure Statement. The petition fee (\$130.00) required by 37 CFR 1.17(i)(1) is authorized in item 14 below. (Direct this letter to: "Attention PETITIONS EXAMINER" and if applicable include batch locator information; e.g. "Allowed Files, Batch _____, Date of Allowance _____" and _____)
- b) The required Certification is stated in item 11 below.
- ☒ 11 Certification
- ☒ 12 Each item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement; or
- ☐ 13 No item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the person signing this document after making reasonable inquiry, was known to any individual designated in 37 CFR 1.56(c) more than three (3) months prior to the filing of this Statement.
- ☒ 14 Please charge all applicable fees associated with the submittal of this Information Disclosure Statement to Deposit Account No.07-0862. An original and two (2) copies of this document are enclosed.

Respectfully submitted,


Frank A. Smith
Attorney for Applicants
Registration No. 39,375
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General Electric Company
One Plastics Avenue
Pittsfield, MA 01201
10/10/2002
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PTO-1449 INFORMATION DISCLOSURE STATEMENT BY APPLICANT LIST OF ITEMS (Use several sheets if necessary)	Attorney's Docket Number 8CL-7197A	Serial Number 09/824,886
	Name of Applicant De Bruin et al.	
	Filing Date 04/03/2001	Group 1626

U.S. PATENT DOCUMENTS

* Examiner Initials	Document Number								Date	Name	Class	Subclass	Filing Date if Appropriate
	AA	5	4	9	8	3	1	9	03/12/1996	Ehlinger			
	AB												
	AC												
	AD												
	AE												
	AF												
	AG												
	AH												
	AI												
	AJ												
	AK												
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FOREIGN PATENT DOCUMENTS

	Document Number	Date	COUNTRY	Class	Subclass	TRANSLATION YES NO	
	AM EP0781760	07/02/1997	European				
	AN JP62277345 (abstract)	12/02/1987	Japan				
	AO JP61172852 (abstract)	08/04/1986	Japan				
	AP EP0633241 B1 (abstract)	01/11/1995	European				
	AQ JP11012230 (abstract)	01/19/1999	Japan				
	AR EP0722931	07/24/1996	European				

	AS	
	AT	

EXAMINER**DATE CONSIDERED**

* **EXAMINER:** Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not conformance and not considered. Include copy of this form with next communication to applicant.